# IAP6 Rec'd PCT/PTO 25 JUN 2007

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Roger John GILLESPIE et al

Int'l Application No.: PCT/GB2005/000498

Serial No. 10/588,757 Art Unit: To Be Assigned

Filed: August 08, 2006 Examiner: To Be Assigned

For: PYRIMIDINE COMPOUNDS AS Atty Docket: 010180.00052

PURINE RECEPTOR

**ANTAGONIST** 

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. § 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Commissioner of Patents U.S. Patent and Trademark Office Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314

Sir:

Applicants submit the following documents to complete the requirements for acceptance under 35 U.S.C. § 371:

- 1. Fee Transmittal Sheet Form PTO-1390 (Modified) in Duplicate;
- 2. Copy of the Notification of Missing Requirements mailed May 07, 2007 and

- 3. **Executed Declaration for Patent Application**
- 4. Executed Assignment with Recordation cover sheet

5.

Please charge our Deposit Account No. 19-0733 in the amount of \$\sum 170.00\$, as follows:

- \$ 130.00 Late declaration surcharge
- \$ 40.00 Recordation of Assignment

We believe no additional fees are required. If this is incorrect, please charge our Deposit Account No. 19-0733.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Susan A. Wolffe Registration No. 33,568

Date: June 25, 2007

Banner & Witcoff, Ltd. Customer Number: 22907

SAW:mhn

ORM PTO-1390 (Modified) REV. 9-2006) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 010180.00052 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) 10/588,757 CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. PCT/GB2005/000498 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 11 February 2005 12 February 2004 TITLE OF INVENTION PYRIMIDINE COMPOUNDS AS PURINE RECEPTOR ANTAGONIST APPLICANT(S) FOR DO/EO/US Roger John GILLESPIE et al Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. Ø 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (25) indicated below. The US has been elected (Article 31). 4. 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. C. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) 7. are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. C. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  $\boxtimes$ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 9 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. A copy of the International Preliminary Examination Report (PCT/IPEA/409). A copy of the International Search Report (PCT/ISA/210). 12. Items 13 to 23 below concern document(s) or information included: 13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 16. 17. An Application Data Sheet under 37 CFR 1.76. 18. A substitute specification. 19. A power of attorney and/or change of address letter. 20. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 22

23.

Express Mail Label No.

PTO-1390 (Rev. 09-2006)
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U.S. APPLICATION NO (if known, see 37 CFR 1.5)
INTERNATIONAL APPLICATION NO

| 24. Other items or information:  Response to Notification of Missing Requirements Under 35 U.S.C. 371  Copy of Notification of Missing Requirements Under 35 U.S.C. 371  The following fees have been submitted:  25.  | 10/588,757   |   | 937 CFR 1.5)   | PCT/GB2005/000498                             |               |                              |           | ATTORNEY'S DOCKET NUMBER 010180.00052 |            |  |
|--|--|---|----------------|---|---------------|------------------------------|-----------|---------------------------------------|------------|--|
| Respoase to Notification of Missing Requirements Under 35 U.S.C. 371 Copy of Notification of Missing Requirements I Postcard  The following fees have been submitted: 25.  | 24 Other ite   | <del></del>                               |                | 101/02  |               | 1                            |           | 10100.000                             | 7 <u>4</u> |  |
| 25.  | Response<br>Copy of  | to Notification of<br>Notification of Mis | Missing Req    |   | S.C. 3        | <b>/1</b>                    |           |                                       |            |  |
| 25.  | The followin   | q fees have been                          | submitted:     |   |               |                              | CALC      | ULATIONS                              | PTO USE    |  |
| If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 32(1)-(4) . \$200  27.  |  |   |                |   |               | \$300                        | \$        | \$0.00                                |            |  |
| If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB  | If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article \$0   |   |                |   |               |                              |           | \$0.00                                |            |  |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) in an electronic medium or computer program listing in an electronic medium) (37 CFR 1.492(i)).  Total Sheets  Extra Sheets  Number of each additional 50 or fraction thereof.  Total Sheets  Extra Sheets  Number of each additional 50 or fraction thereof (round up to a whole or fraction thereof (round | 27. ☐ Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority |   |                |   |               |                              | \$        | \$0.00                                |            |  |
| Computer program isting in an electronic medium) (37 CFR 1.492(i)).  Total Sheets  Extra Sheets  Number of each additional 50 or fraction thereof.  RATE  -100 = 0 /50 = 0   | TOTAL OF 25, 26 and 27 =   |   |                |   |               |                              |           | \$0.00                                |            |  |
| fraction thereof (round up to a whole  -100 = 0  | Computer program listing in an electronic medium) (37 CFR 1 492(i))  |   |                |   |               |                              |           |                                       |            |  |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE  Total claims -20 = 0   | Total Sheets   | Extra Sheets                              |                |   |               |                              |           |                                       |            |  |
| CLAIMS NUMBER FILED NUMBER EXTRA RATE  Total claims -20 = 0  | - 100 =  | 0 /50 =                                   |                | 0   | × \$250.00    |                              | \$        | \$0.00                                |            |  |
| CLAIMS NUMBER FILED NUMBER EXTRA RATE  Total claims -20 = 0  | Surcharge of \$13 declaration after  | 0.00 for furnishing the date of comme     | any of the s   | earch fee, examination the national stage (37 | n fee,<br>CFR | or the oath or<br>1.492(h)). | \$        | \$130.00                              | -          |  |
| Independent claims  - 3 = 0  |  |   |                |   |               |                              |           |                                       |            |  |
| MULTIPLE DEPENDENT CLAIMS (if applicable)  | Total claims   |   | - 20 =         | 0   | ×             | \$50.00                      | \$        | \$0.00                                |            |  |
| TOTAL OF ABOVE CALCULATIONS = \$ \$130.00  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by \$ \$0.00  SUBTOTAL = \$ \$130.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from \$ \$0.00  TOTAL NATIONAL FEE = \$ \$130.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$ \$40.00  TOTAL FEES ENCLOSED = \$ \$170.00  Amount to be \$   | Independent claims - 3 =   |   | - 3=           | 0   | х             | \$200.00                     | \$        | \$0.00                                |            |  |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by  SUBTOTAL = \$130.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  TOTAL NATIONAL FEE = \$130.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$40.00  TOTAL FEES ENCLOSED = \$170.00  Amount to be \$  | MULTIPLE DEPE  | NDENT CLAIMS                              | (if applicable | e) 🗆  | +             | \$360.00                     | \$        | \$0.00                                |            |  |
| SUBTOTAL = \$130.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  TOTAL NATIONAL FEE = \$130.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$40.00  TOTAL FEES ENCLOSED = \$170.00  Amount to be \$  |  |   | Т              | OTAL OF ABOVE                                 | E CA          | LCULATIONS =                 | <b>\$</b> | \$130.00                              |            |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  TOTAL NATIONAL FEE = \$130.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$40.00  TOTAL FEES ENCLOSED = \$170.00  Amount to be \$  Amount to be   | Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.  |   |                |   |               | \$                           | \$0.00    |                                       |            |  |
| TOTAL NATIONAL FEE = \$130.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$40.00  TOTAL FEES ENCLOSED = \$170.00  Amount to be \$  |  |   |                |   |               | = \$                         | \$130.00  |                                       |            |  |
| TOTAL NATIONAL FEE = \$ \$130.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$ \$40.00  TOTAL FEES ENCLOSED = \$ \$170.00  Amount to be \$   | Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  |   |                |   |               | \$                           | \$ \$0.00 |                                       |            |  |
| TOTAL FEES ENCLOSED = \$170.00  Amount to be \$  Amount to be \$   |  |   |                |   |               |                              | = \$      | \$ \$130.00                           |            |  |
| Amount to be \$  | Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +  |   |                |   |               |                              | \$        | \$ \$40.00                            |            |  |
| Amount to be \$  | TOTAL FEES ENCLOSED =  |   |                |   |               |                              | <b>\$</b> | \$ \$170.00                           |            |  |
| Amount to be \$  |  |   |                |   |               |                              | Amour     | nt to be                              | \$         |  |
|  |  |   |                |   |               |                              | Amour     | it to be                              | \$         |  |

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| a.   |   | A check in the amount of \$  | to cover th | e above fees is enclose | d.         |                          |  |
|--|---|--|-------------|-------------------------|------------|--------------------------|--|
| b.   | ×   | Please charge my Deposit Account No. A duplicate copy of this sheet is enclosed.   | 19-0733     | in the amount of \$     | \$170.00   | to cover the above fees. |  |
| c.   | ×   | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0733 . A duplicate copy of this sheet is enclosed.  |             |                         |            |                          |  |
| d.   |   | Fees are to be charged to a credit card. <b>WARNING</b> : Information on this form may become public. <b>Credit card information should not be included on this form</b> . Provide credit card information and authorization on PTO-2038. The PTO-2038 should only be mailed or faxed to the USPTO. However, when paying the basic national fee, the PTO-2038 may NOT be faxed to the USPTO. |             |                         |            |                          |  |
|  | ADVISORY: If filing by EFS-Web, do NOT attach the PTO-2038 form as a PDF along with your EFS-Web submission. Please |  |             |                         |            |                          |  |
|  | be advised that this is not recommended and by doing so your credit card information may be displayed via PAIR. To  |  |             |                         |            |                          |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. |   |  |             |                         |            |                          |  |
| SE   | SEND ALL CORRESPONDENCE TO:   |  |             |                         |            |                          |  |
| BA   | BANNER & WITCOFF, LTD.  |  |             |                         |            |                          |  |
| _  |   |  |             | Susan A.                | Wolffe     | X.                       |  |
| Cu   | stom  | ner Number: 22907  |             | NAME                    |            |                          |  |
|  |   |  |             | 33,568                  |            | •                        |  |
|  |   |  |             |                         | TION NUMBE | R                        |  |
|  |   |  |             |                         |            |                          |  |
|  |   |  |             | June 25, 2              | 2007       |                          |  |
|  |   |  |             | DATE                    |            |                          |  |

06/26/2007 GFREY1 00000141 190733 10588757 130.00 DA 01 FC:1617



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginis 22313-1450 www.uspto.gov

INTERNATIONAL APPLICATION NO.

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/588,757

Roger John Gillespie

010180.00052

PCT/GB05/00498

I.A. FILING DATE

PRIORITY DATE

02/11/2005

02/12/2004

22907 BANNER & WITCOFF, LTD. 1100 13th STREET, N.W. **SUITE 1200** WASHINGTON, DC 20005-4051

RECEIVED

MAY 0 8 2007

BANNER & WITCOFF, LTD.

**CONFIRMATION NO. 2944 371 FORMALITIES LETTER** 

OC000000023724723

Date Mailed: 05/07/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 08/08/2006
- Copy of the International Search Report filed on 08/08/2006
- Preliminary Amendments filed on 08/08/2006
- Information Disclosure Statements filed on 08/08/2006
- Request for Immediate Examination filed on 08/08/2006
- U.S. Basic National Fees filed on 08/08/2006
- Priority Documents filed on 08/08/2006

Last Day

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <a href="http://www.uspto.gov/ebc">http://www.uspto.gov/ebc</a>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

#### PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/588,757                  | PCT/GB05/00498                | 010180.00052     |

FORM PCT/DO/EO/905 (371 Formalities Notice)